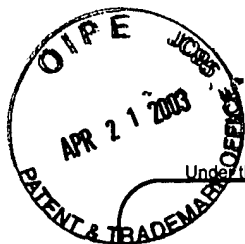


3739



PTO/SB/21 (01-03)

Approved for use through 04/30/2003. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/095,323

Filing Date 6/10/1998

First Named Inventor LAUFER, Micahel D.

Art Unit 3739

Examiner Name D. Shay

Total Number of Pages in This Submission

5

Attorney Docket Number 435712000900

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	>Certificate Under 3.73(b) (1 page)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	>Statement in support of Certificate Under 3.73(b) (1 page)
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	>Return postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Sanjay S. Bagade
Signature	
Date	April 14, 2003

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 14, 2003

Typed or printed	Sanjay S. Bagade
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Date	April 14, 2003

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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<b>REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	09/095,323
	<b>Filing Date</b>	6/10/1998
	<b>First Named Inventor</b>	LAUFER, Michael D.
	<b>Group Art Unit</b>	3739
	<b>Examiner Name</b>	D. Shay
	<b>Attorney Docket Number</b>	435712000900

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

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APR 25 2003

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name	Sanjay S. Bagade
Signature	
Date	April 14, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

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PTO/SB/81 (02-01)  
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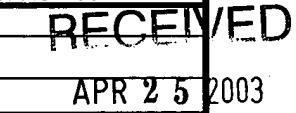
<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	Application Number	09/095,323
	Filing Date	6/10/1998
	First Named Inventor	LAUFER, Michael D.
	Title	METHOD AND MEANS FOR TREA
	Group Art Unit	3739
	Examiner Name	D. Shay
	Attorney Docket Number	435712000900

I hereby appoint:

☒ Practitioners at Customer Number  →   
PATENT TRADEMARK OFFICE

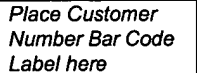
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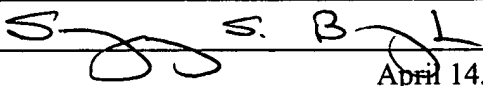
Telephone Fax

I am the:

☐ Applicant/Inventor.

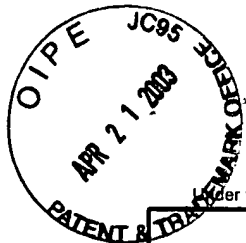
☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	Sanjay S. Bagade
Signature	
Date	April 14, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: LAUFER, Michael D.

Application No./Patent No.: 09/095,323

Filed/Issue Date: 6/10/1998

Entitled: METHOD AND APPARATUS FOR TREATING SMOOTH MUSCLES IN THE WALLS OF BODY...

Broncus Technologies, Inc.

, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010436, Frame 0127, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

April 14, 2003  
Date

Sanjay S. Bagade

Typed or printed name

[Signature]  
Signature

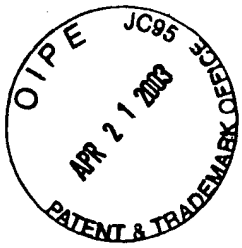
Director, Intellectual Property

Title



Broncus Technologies, Inc.  
1400 N. Shoreline Blvd.  
Building A, Suite 8  
Mountain View, CA 94043  
www.broncus.com

(650) 428-1111  
(650) 428-1542 fax



April 10, 2003

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Dear Sirs:

I am the President and Chief Executive Officer of Broncus Technologies Inc. On March 24, 2003 Broncus hired Sanjay Bagade as in-house intellectual property counsel with a title of *Director, Intellectual Property*.

On the March 12, 2003 meeting of Broncus Technologies Inc.'s Board of Directors, I presented a motion to also appoint Sanjay Bagade an Assistant Secretary of Broncus Technologies Inc., whose sole authority as an Assistant Secretary is to sign on behalf of the Company documentation that is to be filed with the U.S. Patent and Trademark Office, and similar offices in other countries throughout the world. The Board approved this motion at the March 12, 2003 meeting.

Accordingly, Sanjay Bagade is authorized to act on behalf of Broncus for matters before the U.S. Patent and Trademark Office, and similar offices in other countries throughout the world.

Very truly yours,

Glen E. French  
President and Chief Executive Officer, Broncus Technologies Inc.